



FINANCIAL INDUSTRY REGULATORY
AUTHORITY

1735 K Street, NW
Washington, DC 20006
t: 202 728 8000
www.finra.org

September 2, 2026

VIA ELECTRONIC DELIVERY

Chief Compliance Officer
ChartlyX Technologies Ltd.
CRD No.: 483920
SEC No.: 8-109483

RE: NOTICE OF APPROVAL - FINRA MEMBERSHIP APPLICATION

Dear Sir/Madam:

This letter constitutes formal notification that the Financial Industry Regulatory Authority ("FINRA") has approved the New Member Application of **ChartlyX Technologies Ltd.** ("the Firm") pursuant to NASD Rule 1014 and FINRA Rule 1014.

Effective **September 2, 2026**, the Firm is officially recognized as a member of FINRA. The Firm is authorized to engage in the business of a broker-dealer as outlined in its Membership Agreement. Please note that the Firm is required to execute the enclosed Membership Agreement and return it to FINRA within 30 days of the receipt of this approval.

As a FINRA member, the Firm is subject to the regulatory oversight of FINRA, the Securities and Exchange Commission (SEC), and any applicable state securities regulators. The Firm is reminded of its ongoing obligation to comply with all applicable rules and regulations, including but not limited to the maintenance of minimum net capital requirements and the timely filing of FOCUS reports.

Welcome to FINRA.

Sincerely, 

Membership Application Program (MAP) Group

Department of Member Supervision

FINRA

Investor protection. Market integrity.